# Parameterized Complexity and its Use in Computational Social Choice

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Parameterized Complexity in COMSOC

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 C. Lindner and J. Rothe: Fixed-Parameter Tractability and Parameterized Complexity, Applied to Problems From Computational Social Choice, A. Holder (ed.), *Mathematical Programming Glossary*, INFORMS Computing Society, 2008.

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R. Bredereck, J. Chen, P. Faliszewski, J. Guo, R. Niedermeier, and G. Woeginger: Parameterized Algorithmics for Computational Social Choice: Nine Research Challenges, *Tsinghua Science and Technology* 19(4), 2014.

#### Overview

## Overview

- Definitions
  - Parameterized Complexity
  - Elections and Voting Systems
- Voting Problems
  - Winner and Score Problems
  - Possible Winner
  - Bribery
  - Control
  - Single-Peaked Elections
- Other COMSOC Problems:
  - Optimal Lobbying
  - Judgment Aggregation
  - Cake Cutting

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- Other COMSOC Problems: BUT THERE WILL BE NO TIME!
  - Optimal Lobbying
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# Fixed-Parameter Tractability

### Definition

A parameterized decision problem is a language L ⊆ Σ\*×N.
 L is fixed-parameter tractable if there exists some computable function f : N → N such that for each input (x, k), it can be determined in time f(k) · |x|<sup>ℓ(1)</sup> whether or not (x, k) is in L.

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- FPT is the class of fixed-parameter tractable problems.
  Note: FPT ≠ polynomial-time solvability for constant k.
- XP is the class of problems solvable in time  $\mathscr{O}(|x|^{f(k)})$ , where  $f: \mathbb{N} \to \mathbb{N}$  is a computable function depending only on k.

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- FPT is the class of fixed-parameter tractable problems.
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- XP is the class of problems solvable in time O(|x|<sup>f(k)</sup>), where f: N→N is a computable function depending only on k.
  Note: FPT ⊆ XP.

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# Kernelization

### Definition

A parameterized problem  $\mathscr{L}$  has a (polynomial-size) problem kernel if there is a polynomial-time algorithm (called kernelization) that on input (x,k) computes (x',k') such that

- (v,k)  $\in \mathscr{L}$  if and only if  $(x',k') \in \mathscr{L}$ , and
- |(x', k')| ≤ f(k) for some (polynomial) function f : N → N depending only on k.

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### Note:

- $\mathscr{L} \in \operatorname{FPT}$  if and only if  $\mathscr{L}$  has a problem kernel.
- Kernelization typically employs polynomial-time executable *data reduction rules* to shrink the input size.

# Parameterized Reducibility

### Definition

- Given two parameterized problems L and L' (both encoded over Σ\*×ℕ), we say L parameterizedly reduces to L' if there are two functions, f: Σ\* → Σ\* and g: ℕ → ℕ, such that for each instance (x, k) of L,
  - **(** $(x,k) \in \mathscr{L}$  if and only if  $(f(x),g(k)) \in \mathscr{L}'$ , and
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- A parameterized problem  $\mathscr{L}$  is *hard for a parameterized complexity class*  $\mathscr{C}$  if every problem in  $\mathscr{C}$  parameterizedly reduces to  $\mathscr{L}$ .

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# Parameterized Reducibility

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- A parameterized problem  $\mathscr{L}$  is *hard for a parameterized complexity class*  $\mathscr{C}$  if every problem in  $\mathscr{C}$  parameterizedly reduces to  $\mathscr{L}$ .
- $\mathscr{L}$  is *complete for*  $\mathscr{C}$  if it both belongs to  $\mathscr{C}$  and is hard for  $\mathscr{C}$ .

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WEIGHTED WEFT-t DEPTH-d CIRCUIT SATISFIABILITY (WCS(t, d))

Given:	A boolean circuit of weft $t$ and depth $d,$ and an integer
	bound k.

Is there a satisfying assignment of weight k (i.e., setting Question: k variables to true)?

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- Here, a boolean circuit may contain
  - NOT, AND, and OR gates of fan-in at most 2,
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- Here, a boolean circuit may contain
  - NOT, AND, and OR gates of fan-in at most 2,
  - large AND and OR gates of unbounded fan-in.
- The *weft* of a circuit is the maximum number of large gates on any path from input to output gates.
- The *depth* of a circuit is the maximum number of gates on any path from input to output gates.

# Parameterized Complexity: The W-Hierarchy

Definition

The *W*-hierarchy consists of the classes W[t],  $t \ge 1$ , where

W[t] is the class of parameterized problems parameterizedly reducible

(w.r.t. the given parameter) to WCS(t, d) for some constant  $d \ge 1$ .

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Note:

$$FPT \subseteq W[1] \subseteq W[2] \subseteq \cdots \subseteq W[t] \subseteq \cdots \subseteq XP.$$

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Note:

$$FPT \subseteq W[1] \subseteq W[2] \subseteq \cdots \subseteq W[t] \subseteq \cdots \subseteq XP.$$

 $\bullet\,$  To classify some problem  $\mathscr{L},$  parameterizedly reduce

- $\mathscr{L}$  to some known problem in W[t] (membership in W[t]) and
- some known W[t]-hard problem to  $\mathscr{L}$  (W[t]-hardness).

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Definition (Election)

- An *election* is a pair (C, V) with
  - a finite set C of candidates (or alternatives) and
  - a finite list V of votes expressing the voters' preferences over the candidates in C.

# Elections and Voting Systems

### Definition (Election)

- An *election* is a pair (C, V) with
  - a finite set C of candidates (or alternatives) and
  - a finite list V of votes expressing the voters' preferences over the candidates in C.

## Definition (Voting System)

- A voting system is a set of rules that
  - define the form of the voters' ballots (representation of the voters' preferences) in V and
  - determine the winner(s) in C according to the ballots in V.

## Scoring Rules: Plurality, k-Approval, and Borda

• Scoring vector  $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_m)$  with  $\alpha_1 \geq \alpha_2 \geq \dots \geq \alpha_m$ 

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# Scoring Rules: Plurality, k-Approval, and Borda

- Scoring vector  $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_m)$  with  $\alpha_1 \ge \alpha_2 \ge \dots \ge \alpha_m$
- Plurality:  $\alpha = (1, 0, \ldots, 0)$
- *k*-Approval:  $\alpha = (\underbrace{1, \dots, 1}_{k}, 0, \dots, 0)$
- $\alpha = (m-1, m-2, \dots, 0)$ • Borda:

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- Plurality:  $\alpha = (1, 0, \dots, 0)$
- *k*-Approval:  $\alpha = (\underbrace{1, \dots, 1}_{k}, 0, \dots, 0)$
- Borda:  $\alpha = (m 1, m 2, ..., 0)$

	Plurality				2-Approval				Borda			
Preference profile	A	В	С		A	В	С		A	В	С	
A > B > C	1	0	0		1	1	0		2	1	0	
B > C > A	0	1	0		0	1	1		0	2	1	
A > B > C	1	0	0		1	1	0		2	1	0	
Scores:	2	1	0		2	3	1	•₽•	4	4 ≞	<u>1</u>	
örg Rothe (HHU Düsseldorf) Parameterized Complexity in COMSOC												

# Voting Systems Based on Pairwise Comparison: Example

	Pairwise comparison								
Preference profile	A?B	A?C	A?D	B?C	B?D	C?D			
A > D > C > B	Α	Α	Α	С	D	D			
C > D > B > A	В	С	D	С	D	С			
C > D > B > A	В	С	D	С	D	С			
B > D > A > C	В	A	D	В	В	D			
A > C > D > B	Α	A	Α	С	D	С			
A > C > B > D	Α	A	A	С	В	С			
Winner of the comparison:	?	A	?	С	D	С			

Table: Example of an election without a Condorcet winner

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# Voting Systems Based on Pairwise Comparison: Copeland




Copeland<sup> $\alpha$ </sup> score of a candidate: 1 point for each pairwise win plus  $\alpha$  points for each tie, where  $\alpha \in [0,1]$  is a rational number.



Copeland<sup> $\alpha$ </sup> score of a candidate: 1 point for each pairwise win plus  $\alpha$  points for each tie, where  $\alpha \in [0,1]$  is a rational number.

Copeland<sup> $\alpha$ </sup>Score(A) = 1+2 $\alpha$ , Copeland<sup> $\alpha$ </sup>Score(B) =  $\alpha$ , Copeland<sup> $\alpha$ </sup>Score(C) = 2, Copeland<sup> $\alpha$ </sup>Score(D) = 1+ $\alpha$ .

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*C* wins if  $\alpha = 0$ ;



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C wins if  $\alpha = 0$ ; A and C win if  $\alpha = \frac{1}{2}$ ;



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C wins if  $\alpha = 0$ ; A and C win if  $\alpha = 1/2$ ; A wins if  $\alpha = 1$ .

### Winner and Score Problems: Definition

 $\mathscr{E}\text{-}\mathrm{Winner}$ 

Given:	An election $(C, V)$ and a distinguished candidate $c \in C$ .
Question:	Is c a winner of $(C, V)$ according to voting system $\mathscr{E}$ ?

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## Winner and Score Problems: Definition

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 For most voting systems (scoring rules, Condorcet, approval, Copeland, ...), the winners can be determined in polynomial time.

## Winner and Score Problems: Definition

 $\mathscr{E} ext{-Winner}$ 

Given:	An election (C, V) and a distinguished candidate $c \in C$ .
Question:	Is c a winner of $(C, V)$ according to voting system $\mathscr{E}$ ?

- For most voting systems (scoring rules, Condorcet, approval, Copeland, ...), the winners can be determined in polynomial time.
- $\bullet$  A few exceptions: Winner determination is complete for  $P^{NP}_{\mbox{\tiny II}}$  in
  - Dodgson (Hemaspaandra, Hemaspaandra, Rothe, JACM 44(6), 1997),
  - Young (Rothe, Spakowski, Vogel, TOCS 36(4), 2003), and
  - Kemeny voting (Hemaspaandra, Spakowski, Vogel, TCS 349(3), 2005).

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	Pairwise comparison					
Preference profile	A?B	A?C	A?D	B?C	B?D	C?D
A > D > C > B	Α	Α	A	С	D	D
C > D > B > A	В	С	D	С	D	С
C > D > B > A	В	С	D	С	D	С
B > D > A > C	В	Α	D	В	В	D
A > C > D > B	A	Α	A	С	D	С
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Winner of the comparison:	?	A	?	С	D	С

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*Dodgson score* of a candidate: smallest number of swaps needed to make her a Condorcet winner.



*Dodgson score* of a candidate: smallest number of swaps needed to make her a Condorcet winner.

A has a *Dodgson score* of 2.



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2<sup>nd</sup> vote: C > D > B > A



*Dodgson score* of a candidate: smallest number of swaps needed to make her a Condorcet winner.

A has a *Dodgson score* of 2.

2<sup>nd</sup> vote:  $C > D > B \stackrel{\checkmark}{>} A \longrightarrow C > D \stackrel{\checkmark}{>} A > B$ 



*Dodgson score* of a candidate: smallest number of swaps needed to make her a Condorcet winner.

A has a *Dodgson score* of 2.

# 2<sup>nd</sup> vote: $C > D > B \stackrel{\curvearrowleft}{>} A \quad \rightsquigarrow \quad C > D \stackrel{\curvearrowleft}{>} A > B \quad \rightsquigarrow \quad C > A > D > B$



5<sup>th</sup> vote:  $A \stackrel{\frown}{>} C > D > B$ 6<sup>th</sup> vote:  $A \stackrel{\frown}{>} C > B > D$  *Dodgson score* of a candidate: smallest number of swaps needed to make her a Condorcet winner.

A has a *Dodgson score* of 2.

C has a *Dodgson score* of 2.



*Dodgson score* of a candidate: smallest number of swaps needed to make her a Condorcet winner.

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5<sup>th</sup> vote:  $A \stackrel{\curvearrowleft}{>} C > D > B \quad \rightsquigarrow \quad C > A > D > B$ 6<sup>th</sup> vote:  $A \stackrel{\curvearrowleft}{>} C > B > D \quad \rightsquigarrow \quad C > A > B > D$ 

. . . . . . . .



*Young score* of a candidate: largest number of votes for which she is a weak Condorcet winner.



*Young score* of a candidate: largest number of votes for which she is a weak Condorcet winner.

*Dual Young score* of a candidate: smallest number of votes that need to be deleted to make her a weak Condorcet winner.



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*Young score* of a candidate: largest number of votes for which she is a weak Condorcet winner.

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A has a dual Young score of 0.

C has a dual Young score of 2.

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- C > D > B > A
- C > D > B > A
- B > D > A > C
- A > C > D > B

### C has a dual Young score of 2.

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### Dodgson and Young Score: Definition and Overview

### DODGSON SCORE

Given:	An election (C,V), a distinguished candidate $c \in C$ , and
	an integer $k > 0$ .

**Question:** Is the Dodgson score of c in (C, V) at most k?

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	an integer $k > 0$ .
0	$ a,b  = D \cdot d \cdots = a \cdot a \cdot a \cdot (C \cdot V) + \cdots + V^2$

Question: Is the Dodgson score of c in (C, V) at most k?

• YOUNG SCORE and DUAL YOUNG SCORE are defined analogously.

• These problems are NP-complete (Bartholdi, Tovey, Trick, SCW 6(2), 1989).

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#### Winner and Score Problems

# Dodgson and Young Score: Overview

Parameter	Dodgson	DUAL YOUNG	Young
m = # candidates	FPT	FPT	FPT
n = # votes	W[1]-hard	FPT ( $\mathcal{O}^*(2^n)$ )	FPT ( $\mathcal{O}^*(2^n)$ )
k=# swaps	FPT ( $\mathcal{O}^*(2^k)$ )	—	_
k = # deleted votes	—	W[2]-complete	_
k = # remaining votes	_	—	W[2]-complete

Table: Overview of parameterized complexity for SCORE problems

Bartholdi, Tovey, Trick: Voting Schemes for Which it Can Be Difficult to Tell Who Won the Election, *SCW* 6(2), 1989 Young: Extending Condorcet's Rule, *JET* 16(2), 1977 Fellows, Jansen, Lokshtanov, Rosamond, Saurabh: Determining the Winner of a Dodgson Election is Hard, *FSTTCS*, 2010 Betzler, Guo, Niedermeier: Parameterized Computational Complexity of Dodgson and Young Elections, *I&C* 208(2), 2010 Rothe, Spakowski, Vogel: Exact Complexity of the Winner Problem for Young Elections, *TOCS* 36(4), 2003

### DODGSON SCORE is FPT by Integer Linear Program

$$\min \sum_{i,j} j \cdot \mathbf{x}_{i,j} \qquad \text{subject to} \\ \forall i \in \tilde{V} \quad : \quad \sum_{j} \mathbf{x}_{i,j} = N_i \\ \forall y \in C \quad : \quad \sum_{i,j} e_{i,j,y} \cdot \mathbf{x}_{i,j} \ge d_y \\ \mathbf{x}_{i,j} \ge 0$$

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#### Winner and Score Problems

## $\operatorname{Dodgson}\,\operatorname{Score}\,$ is FPT by Integer Linear Program

where

•  $\tilde{V}$  lists the *different* preference types

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$$\begin{split} \min \sum_{i,j} j \cdot \mathbf{x}_{i,j} & \text{subject to} \\ \forall i \in \tilde{V} & : \quad \sum_{j} \mathbf{x}_{i,j} = N_i \\ \forall y \in C & : \quad \sum_{i,j} e_{i,j,y} \cdot \mathbf{x}_{i,j} \ge d_y \\ & \mathbf{x}_{i,j} \ge 0 \end{split}$$

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#### where

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- x<sub>i,j</sub> is the number of type-i votes for which the designated candidate c will be moved upward by j positions

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 $\min \sum_{i,j} j \cdot x_{i,j} \qquad \text{subject to}$ 

 $\forall i \in \tilde{V} : \sum_{j} x_{i,j} = N_i$ 

 $\forall y \in C : \sum_{i,j} e_{i,j,y} \cdot \mathbf{x}_{i,j} \ge d_y$ 

 $x_{i,i} \ge 0$ 

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$$d_y$$
 is c's deficit with respect to y

•  $e_{i,j,y} = \begin{cases} 1 & \text{if } c \text{ gains an additional voter support against } y \text{ when} \\ c \text{ is moved upward by } j \text{ positions in a type-}i \text{ vote} \\ 0 & \text{otherwise} \end{cases}$ 

$$\begin{split} \min \sum_{i,j} j \cdot \mathbf{x}_{i,j} & \text{subject to} \\ \forall i \in \tilde{V} & : \quad \sum_{j} \mathbf{x}_{i,j} = N_i \\ \forall y \in C & : \quad \sum_{i,j} e_{i,j,y} \cdot \mathbf{x}_{i,j} \ge d_y \end{split}$$

 $x_{i,i} > 0$ 

- Many further FPT results are based on ILPs:
  - Betzler, Hemmann, Niedermeier (IJCAI-2009)
  - Faliszewski, Hemaspaandra, Hemaspaandra, Rothe (JAIR 35, 2009)
  - Betzler, Niedermeier, Woeginger (IJCAI-2011)
  - Dorn, Schlotter (*Algorithmica* 64(1), 2012)
  - Bredereck, Chen, Hartung, Kratsch, Niedermeier, Suchý (AAAI-2012)
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- For a bounded number of variables, such ILPs can be solved in polynomial time by the famous algorithm due to H. Lenstra Jr.: Integer Programming with a Fixed Number of Variables, MOR 8, 1983.
- Advantage: Great classification tool, mainly of theoretical interest.
- Disadvantage: HUGE exponential function in number of variables ⇒ not practically feasible; e.g., above ILP has m·m! variables x<sub>i,j</sub>.
## Research Challenge 1: ILP $\Rightarrow$ direct FPT Algorithms

#### **Research Challenge 1**

Can one replace the ILPs in these known ILP-based FPT results by direct *combinatorial* fixed-parameter algorithms?

## Possible Winner Problem: Example



Figure: Trip preferences of Anna, Belle, and Chris

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## Possible Winner Problem: Definition

#### $\mathscr{E} ext{-}\operatorname{Possible-Winner}$

Given: An election (C, V), where the votes are represented as partial orders over C, and a distinguished candidate c.
Question: Is c a possible & winner of (C, V), i.e., is it possible to fully extend each vote in V such that c wins the election?

Introduced by Konczak and Lang: Voting Procedures with Incomplete

Preferences, IJCAI Workshop on Advances in Preference Handling, 2005

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## Possible Winner Problem: Definition

#### &-Possible-Winner

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	partial orders over $C$ , and a distinguished candidate $c$ .
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- Classical complexity has been studied by many authors, e.g., by:
  - Walsh, AAAI, 2007
  - Betzler and Dorn, JCSS 76(8), 2010
  - Xia and Conitzer, JAIR 41, 2011
  - Baumeister and Rothe, IPL 112(5), 2012

## Possible Winner Problem: Overview

Parameter	Borda	k-Approval	$Copeland^{\alpha}$
m = # candidates	FPT	FPT	FPT
n = # votes	para-NP-comp	para-NP-comp	?
s = # undetermined candidate pairs	$\mathscr{O}^{*}(1.82^{s})$	$\mathscr{O}^{*}(2^{s})$	$\mathscr{O}^*(2^s)$
$u = \max \#$ undeter- mined candidate pairs	para-NP-comp	para-NP-comp	para-NP-comp

Table: Overview of classical and parameterized complexity of POSSIBLE WINNER

ILP based on Lenstra: Integer Programming with a Fixed Number of Variables, *MOR* 8, 1983 Betzler, Hemmann, Niedermeier: A Multivariate Complexity Analysis of Determining Possible Winners Given Incomplete Votes, *IJCAI*, 2009 Xia and Conitzer: Determining Possible and Necessary Winners Given Partial Orders, *JAIR* 41, 2011

Jörg Rothe (HHU Düsseldorf)

Parameterized Complexity in COMSOC

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#### Possible Winner

## Possible Winner Problem: Overview for k-Approval

Parameter	Result	Remark
k = # of ones in vector	NP-comp	for each fixed $k \ge 2$
(t,k), $t = #$ incomplete votes	FPT	super-exponential kernel
(t,k'), $k' = #$ of zeros in vector	FPT	$\mathscr{O}\left(\min\left\{2^{t^2k'},2^{tk'}\cdot(tk')^{k'}\right\}\right)$

Table: Overview

Xia and Conitzer: Determining Possible and Necessary Winners Given Partial Orders, JAIR 41, 2011

Betzler: On Problem Kernels for Possible Winner Determination Under the k-Approval Protocol, MFCS, 2010

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## Research Challenge 2: Possible Winner

## **Research Challenge 2**

• Previous classical results on POSSIBLE WINNER consider only voting systems with efficient winner determination.

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Do the FPT results for DODGSON SCORE, YOUNG SCORE, DUAL YOUNG SCORE, and KEMENY SCORE transfer to POSSIBLE WINNER?

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## Research Challenge 2: Possible Winner

## Research Challenge 2

• Previous classical results on POSSIBLE WINNER consider only voting systems with efficient winner determination.

Do the FPT results for DODGSON SCORE, YOUNG SCORE, DUAL YOUNG SCORE, and KEMENY SCORE transfer to POSSIBLE WINNER?

- What about the parameters
  - average number of candidate pairs
  - maximum number of candidate pairs

in which a candidate is involved?

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#### &-BRIBERY

- **Given:** An election (C, V), a distinguished candidate  $c \in C$ , and a nonnegative integer  $k \leq ||V||$ .
- **Question:** Is it possible to make c an  $\mathscr{E}$  winner of the election that results from changing no more than k votes in V?

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#### &-Bribery

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• &-\$BRIBERY: Each voter has an individual price and the briber a budget. Faliszewski, Hemaspaandra, Hemaspaandra: **How Hard Is Bribery in Elections**?, *JAIR* 35, 2009

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- *C*-SWAP BRIBERY: Each voter has a swap-bribery price function that gives the cost of swapping any two adjacent candidates.
- $\mathscr{E}$ -SHIFT BRIBERY: Like above, except that each swap must involve c.

Elkind, Faliszewski, Slinko: Swap Bribery, SAGT, 2009

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## Swap Bribery: Overview for k-Approval

Parameter	Result	Remark
$eta={\sf budget}$	W[1]-hard	for $n = 1$ ; reduction from MULTI-COLORED CLIQUE
k = # of ones	W[1]-hard	reduction from $\operatorname{CLIQUE}$
m = # candidates	FPT	for constant <i>k</i> ; ILP
n = # votes	FPT	for constant $k$ ; color-coding
$(\beta, n)$	FPT	kernel with $n^2eta^2$ cand's, $n^2eta$ votes
$(\beta, n, k)$	FPT	kernel with $(n+k)eta$ cand's, $n^2eta$ votes

Table: Overview

Dorn and Schlotter: Multivariate Complexity Analysis of Swap Bribery, Algorithmica 64(1), 2012

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## Research Challenge 3: Bribery

- $\bullet\,$  For most natural voting systems  $\mathscr E$  , when parameterized by the number of candidates,
  - $\mathscr{E}$ -BRIBERY tends to be FPT, whereas
  - the other bribery variants are only known to be in XP.

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## Research Challenge 3: Bribery

- $\bullet\,$  For most natural voting systems  $\mathscr{E},$  when parameterized by the number of candidates,
  - $\mathscr{E} ext{-BRIBERY}$  tends to be FPT, whereas
  - the other bribery variants are only known to be in XP.

## Research Challenge 3

For natural voting systems  $\mathcal E$  , what is the exact parameterized complexity of the problems

- $\mathscr{E}$ -\$Bribery,
- *E*-SWAP BRIBERY, and
- *C*-Shift Bribery

when parameterized by the number of candidates?

## Research Challenge 4: FPT Approximation Schemes

• MAX VERTEX COVER is known to be W[1]-complete w.r.t. the parameter k of vertices to pick.

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#### Bribery

## Research Challenge 4: FPT Approximation Schemes

- MAX VERTEX COVER is known to be W[1]-complete w.r.t. the parameter k of vertices to pick.
- Best known approximation algorithm (due to Ageev and Sviridenko, IPCO-1999) achieves a ratio of <sup>3</sup>/<sub>4</sub> (i.e., <sup>3</sup>/<sub>4</sub>OPT edges are guaranteed to be covered).

#### Bribery

## Research Challenge 4: FPT Approximation Schemes

- MAX VERTEX COVER is known to be W[1]-complete w.r.t. the parameter k of vertices to pick.
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- Marx (2008) provided an FPT approximation scheme that, for each positive  $\varepsilon$ ,
  - covers at least  $(1-arepsilon)\mathsf{OPT}$  edges and
  - runs in FPT time w.r.t. k and  $\varepsilon$ .

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#### Bribery

## Research Challenge 4: FPT Approximation Schemes

- MAX VERTEX COVER is known to be W[1]-complete w.r.t. the parameter k of vertices to pick.
- Best known approximation algorithm (due to Ageev and Sviridenko, IPCO-1999) achieves a ratio of  $\frac{3}{4}$  (i.e.,  $\frac{3}{4}$ OPT edges are guaranteed to be covered).
- Marx (2008) provided an FPT approximation scheme that, for each positive  $\varepsilon$ ,
  - covers at least  $(1-arepsilon)\mathsf{OPT}$  edges and
  - runs in FPT time w.r.t. k and  $\varepsilon$ .

## **Research Challenge 4**

For which computationally hard voting problems (in particular those related to bribery) are there FPT approximation schemes?

#### Control

## Control: Definition

#### Electoral Control

Structural change exerted by an external actor, the "chair," intending

- constructive: to make a distinguished candidate win
- destructive: to prevent a distinguished candidate from winning

Bartholdi, Tovey, Trick: **How hard is it to control an election**?, *Mathematical Comput. Modelling*, 16(8/9), 1992

Hemaspaandra, Hemaspaandra, Rothe: **Anyone but him: The complexity of precluding an alternative**, *Artificial Intelligence*, 171(5-6), 2007.

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Control

## Types of Control

**Candidate Control:** 

#### Voter Control:

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#### Candidate Control:

# Adding Candidates (limited and unlimited number)

## Voter Control:

Adding Voters

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#### Candidate Control:

- Adding Candidates (limited and unlimited number)
- Deleting Candidates

## Voter Control:

- Adding Voters
- Deleting Voters

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## Candidate Control:

- Adding Candidates (limited and unlimited number)
- Deleting Candidates
- Partition of Candidates (with or without run-off)

## Voter Control:

- Adding Voters
- Deleting Voters
- Partition of Voters

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#### **Candidate Control:**

- Adding Candidates (limited and unlimited number)
- Deleting Candidates
- Partition of Candidates (with or without run-off)

#### Voter Control:

- Adding Voters
- Deleting Voters
- Partition of Voters

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&-CONSTRUCTIVE-CONTROL-BY-DELETING-VOTERS (&-CCDV)

Given:	An election ( $C, V$ ), a distinguished candidate $c \in C$ , and
	a positive integer $k \leq \ V\ $ .
Question:	Does there exist a sublist $V'$ of $V$ with $\ V \smallsetminus V'\  \le k$ such

that c is an  $\mathscr{E}$  winner of (C, V')?

## Example (Bucklin Voting)

$$C = \{a, b, c, d\}$$
 and  $V = (v_1, v_2, v_3, v_4, v_5)$ , so  $maj(V) = 3$ 

- $v_1$ : b c a d
- $v_2$ : c d a b
- v3: adcb
- v<sub>4</sub>: cadb
- v<sub>5</sub>: bdca

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#### Example (Bucklin Voting)

$$C = \{a, b, c, d\}$$
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- *v*<sub>1</sub>: *b c a d*
- *v*<sub>2</sub>: *c d a b*
- v<sub>3</sub>: adcb
- *v*<sub>4</sub>: *c a d b*
- v<sub>5</sub>: bdca

	а	b	с	d
$score^1$	1	2	2	0

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#### Example (Bucklin Voting)

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*v*<sub>1</sub>: *b c a d* 

 $v_2$ : c d a b

*v*<sub>3</sub>: *a d c b* 

*v*<sub>4</sub>: *c a d b* 

*v*<sub>5</sub>: *bdca* 

	а	b	с	d
$score^1$	1	2	2	0
score <sup>2</sup>	2	2	3	3

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## Example (Bucklin Voting)

$$C = \{a, b, c, d\}$$
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v1	•	υ	C	а	u

- *v*<sub>2</sub>: *c d a b*
- *v*<sub>3</sub>: *a d c b*
- *v*<sub>4</sub> : *c a d b*

v<sub>5</sub>: bdca

	а	b	С	d
$score^1$	1	2	2	0
score <sup>2</sup>	2	2	3	3

 $\Rightarrow$  c and d are level 2 Bucklin winners in (C,V)

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## Example (BV) $C = \{a, b, c, d, e\}, V = (v_1, \dots, v_5), V_1 = (v_1, v_2), V_2 = (v_3, v_4, v_5)$ (C,V) $v_1 \quad bacde$ $v_2 \quad bdcae$ $v_3 \quad cadbe$ $v_4 \quad adcbe$ $v_5 \quad cebad$ $\rightarrow a$

$$1^{st}$$
 stage:  $(C, V_1)$   $(C, V_2)$ 

#### Example (BV) $C = \{a, b, c, d, e\}, V = (v_1, \dots, v_5), V_1 = (v_1, v_2), V_2 = (v_3, v_4, v_5)$ (C, V) $(C, V_1)$ $(C, V_2)$ bacde bacde $V_1$ bdcae bdcae $V_2$ cadbe cadbe V3 adcbe adcbe V۵ cebad cebad V5

ightarrow a



#### Example (BV)

$$C = \{a, b, c, d, e\}, V = (v_1, \dots, v_5), V_1 = (v_1, v_2), V_2 = (v_3, v_4, v_5)$$

	(C, V)	$(C, V_1)$	$(C, V_2)$
$v_1$	bacde	bacde	
<i>v</i> <sub>2</sub>	bdcae	bdcae	
V <sub>3</sub>	cadbe		cadbe
<i>v</i> 4	adcbe		adcbe
$v_5$	cebad		cebad
	ightarrow a	$W_1 = \{b\}$	$W_2 = \{c\}$

1<sup>st</sup> stage:  

$$(C, V_1)$$
  $(C, V_2)$   
 $W_1$   $W_2$   
 $2^{nd}$  stage:  
 $(W_1 \cup W_2, V)$ 

Example (BV)

$$C = \{a, b, c, d, e\}, V = (v_1, \dots, v_5), V_1 = (v_1, v_2), V_2 = (v_3, v_4, v_5)$$

	(C, V)	$(C, V_1)$	$(C, V_2)$	$(W_1 \cup W_2, V)$
$v_1$	bacde	bacde		bc
<i>v</i> <sub>2</sub>	bdcae	bdcae		bc
V <sub>3</sub>	cadbe		cadbe	сb
<b>V</b> 4	adcbe		adcbe	сb
$v_5$	cebad		cebad	сb
	ightarrow a	$W_1 = \{b\}$	$W_2 = \{c\}$	

1<sup>st</sup> stage:  

$$(C, V_1)$$
  $(C, V_2)$   
 $W_1$   $W_2$   
 $2^{nd}$  stage:  
 $(W_1 \cup W_2, V)$ 

Example (BV)

$$C = \{a, b, c, d, e\}, V = (v_1, \dots, v_5), V_1 = (v_1, v_2), V_2 = (v_3, v_4, v_5)$$

	(C,V)	$(C, V_1)$	$(C, V_2)$	$(W_1 \cup W_2, V)$
$v_1$	bacde	bacde		bc
<i>v</i> <sub>2</sub>	bdcae	bdcae		bc
V3	cadbe		cadbe	сb
<i>v</i> 4	adcbe		adcbe	сb
$v_5$	cebad		cebad	сb
	ightarrow a	$W_1 = \{b\}$	$W_2 = \{c\}$	ightarrow c

## Classical Control Complexity: Overview

voting rule	CAUC	CAC	CDC	CPC-TE	CPC-TP	CRPC-TE	CRPC-TP	CAV	CDV	CPV-TE	CPV-TP
	υD	υD	υD	υD	υD	υD	υD	υD	υD	υD	υD
plurality	RR	RR	RR	RR	RR	RR	RR	v v	v v	v v	RR
Condorcet	ΙV	ΙV	VΙ	VΙ	VΙ	VΙ	VΙ	RV	RV	RV	RV
approval	ΙV	ΙV	VΙ	VΙ	I I	VΙ	I I	RV	RV	RV	RV
$Copeland^{\alpha}$											
for $\alpha = 0$	v v	RV	RV	RV	RV	RV	RV	RR	RR	RR	RR
0 < lpha < 1	RV	RV	RV	RV	RV	RV	RV	RR	RR	RR	RR
lpha=1	v v	RV	RV	RV	RV	RV	RV	RR	RR	RR	RR
SP-AV	RR	RR	RR	RR	RR	RR	RR	RV	RV	RV	RR
fallback	RR	RR	RR	RR	RR	RR	RR	RV	RV	RR	RR
Bucklin	RR	RR	RR	RR	RR	RR	RR	RV	RV	RR	RS
RV	ΙV	ΙV	VΙ	VΙ	I I	VΙ	I I	RV	RV	RV	RV
NRV	RR	RR	RR	RR	RR	RR	RR	RV	RV	RR	RR
Schulze	R S	RS	RS	RV	RV	RV	RV	RV	RV	RR	RR

Table: The complexity of control problems for various voting rules. Key: "I" means immunity, "S" susceptibility, "V" vulnerability, and "R" resistance.
# Parameterized Control Complexity: Overview

	Plurality	Condorcet	Maximin	$Copeland^{\alpha}$	BV/FV
CCAC	W[2]-hard	Р	W[2]-hard	W[2]-comp	W[2]-hard
CCDC	W[2]-hard	Р	?	W[2]-comp	W[2]-hard
CCAV	Р	W[1]-hard	W[1]-hard	?	W[2]-hard
CCDV	Р	W[2]-comp	W[1]-hard	?	W[2]-hard
DCAC	W[2]-hard	Р	?	Р	W[2]-hard
DCDC	W[1]-hard	Р	?	Р	W[2]-hard
DCAV	Р	Р	W[1]-hard	?	Р
DCDV	Р	Р	W[1]-hard	?	Р

Table: Overview of classical and parameterized complexity of control problems.All W-hardness results are w.r.t. the output parameter.

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# Parameterized Control Complexity: Any FPT Results?

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# Parameterized Control Complexity: Any FPT Results?

- Faliszewski, Hemaspaandra, Hemaspaandra, Rothe (JAIR, 2009) give some FPT results for control by adding/deleting candidates/voters in Copeland<sup>α</sup> obtained via ILPs w.r.t. the parameters:
  - m = # of candidates
  - n = # of votes

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# Parameterized Control Complexity: Any FPT Results?

- Faliszewski, Hemaspaandra, Hemaspaandra, Rothe (JAIR, 2009) give some FPT results for control by adding/deleting candidates/voters in Copeland<sup>α</sup> obtained via ILPs w.r.t. the parameters:
  - m = # of candidates
  - n = # of votes
- Wang, Yang, Guo, Feng, Chen (*COCOA-2013*) show that, w.r.t. the parameter d = # of deleted votes, *k*-Approval-CCDV is
  - W[2]-hard for unbounded k, yet
  - FPT with a polynomial problem kernel for constant k.

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# Research Challenge 5: Kernelization Complexity

# Research Challenge 5

- What is the kernelization complexity of FPT voting problems w.r.t.
  - the number *m* of candidates,
  - the number *n* of votes, or
  - some parameter less than *m* or *n*?

# Research Challenge 5: Kernelization Complexity

#### Research Challenge 5

- What is the kernelization complexity of FPT voting problems w.r.t.
  - the number *m* of candidates,
  - the number *n* of votes, or
  - some parameter less than *m* or *n*?
- Can one find polynomial (or even linear) problem kernels for these parameters?

### Single-Peaked Elections: Example



Figure: The annual charity Pumpkin Pie Taste-Off

### Single-Peaked Elections: Example



Figure: Preferences regarding sweetness of pumpkin pie

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# Single-Peaked Elections Generalized

• Yang and Guo (*arXiv*, 2013) consider *k*-peaked elections, where each voter's preference can have up to *k* peaks.

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- One can similarly generalize
  - single-crossing elections:

The voters can be linearly ordered such that along this order, for each pair of candidates,

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- or there is a single crossing point where the voters switch from preferring one candidate to the other.

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- or there is a single crossing point where the voters switch from preferring one candidate to the other.
- one-dimensional Euclidean elections:

Candidates and voters can be embedded into  $\mathbb{R}$  such that each voter prefers the closer one among any pair of candidates.

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# Research Challenge 6: Single-peaked $\Rightarrow$ *k*-peaked

#### **Research Challenge 6**

How does the complexity of standard voting problems depend on the parameter k in

- *k*-peaked*k*-crossing
- k-dimensional Euclidean

elections?

- There are various measures for "nearness to single-peakedness". Elections can be made single-peaked by
  - deleting k voters

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- deleting k candidates
- k swaps in the preferences of each voter
- contracting groups of up to k candidates (showing up as a block in each vote) into a single candidate

Jörg Rothe (HHU Düsseldorf)

Parameterized Complexity in COMSOC

### Research Challenge 7: Nearness to Single-peakedness

#### **Research Challenge 7**

How can one use such "nearness to single-peakedness" parameters to obtain FPT results for NP-hard voting problems?

#### No Time for Other COMSOC Problems

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#### No Time for Other COMSOC Problems

Questions?

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